



Filing ID #10027111

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Glenn S. Grothman  
**Status:** Member  
**State/District:** WI06

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2018  
**Filing Date:** 05/13/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AF IRA ⇒ CAPITAL INCOME BUILDER - A (CAIBX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
AF IRA ⇒ SMALL CAP WORLD FUND - A (SMCWX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
AF IRA ⇒ THE GROWTH FUND OF AMERICA - A (AGTHX) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
AF IRA ⇒ THE INCOME FUND OF AMERICA - A (AMFCX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
BANK ACCOUNTS ⇒ BMO HARRIS BANK [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
BANK ACCOUNTS ⇒ COMMERCE STATE BANK [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
BANK ACCOUNTS ⇒ HORICON BANK [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
FB ROTH IRA ⇒		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
FIRST BANK [IH]					
DESCRIPTION: CASH					
INVESTMENTS ⇒ CONDOMINIUM [RP]		\$50,001 - \$100,000	None		<input type="checkbox"/>
LOCATION: WEST BEND, WI, US					
INVESTMENTS ⇒ THRIVENT MUTUAL BOND FUND - A [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
INVESTMENTS ⇒ U.S. Bancorp (USB) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
INVESTMENTS ⇒ WHOLE LIFE - STATE OF WISCONSIN LIFE INSURANCE [WU]		\$1,001 - \$15,000	None		<input type="checkbox"/>
WI RETIREMENT ⇒ AMERICAN FUNDS EUROPACIFIC GROWTH R6 [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
WI RETIREMENT ⇒ FIDELITY CONTRAFUND COMMINGLED POOL CL2 [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
WI RETIREMENT ⇒ VANGUARD INSTITUTIONAL 500 INDEX TRUST [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AF IRA ⇒ SMALL CAP WORLD FUND - A (SMCWX) [MF]		12/24/2018	P	\$1,001 - \$15,000	
DESCRIPTION: REINVESTMENT OF CAPITAL GAINS					
AF IRA ⇒ THE GROWTH FUND OF AMERICA - A (AGTHX) [MF]		12/21/2018	P	\$15,001 - \$50,000	
DESCRIPTION: REINVESTMENT OF CAPITAL GAINS					
AF IRA ⇒ THE INCOME FUND OF AMERICA - A (AMFCX) [MF]		12/18/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: REINVESTMENT OF CAPITAL GAINS					
WI RETIREMENT ⇒ AMERICAN FUNDS EUROPACIFIC GR R6 [MF]		12/20/2018	P	\$1,001 - \$15,000	
DESCRIPTION: REINVESTMENT OF CAPITAL GAINS					
WI RETIREMENT ⇒ AMERICAN FUNDS EUROPACIFIC GROWTH R6 [MF]		06/13/2018	P	\$15,001 - \$50,000	
DESCRIPTION: REINVESTMENT OF CAPITAL GAINS					
WI RETIREMENT ⇒ FIDELITY CONTRAFUND [MF]		02/9/2018	P	\$1,001 - \$15,000	
DESCRIPTION: REINVESTMENT OF CAPITAL GAINS					
WI RETIREMENT ⇒ FIDELITY CONTRAFUND [MF]		08/17/2018	S	\$100,001 - \$250,000	<input type="checkbox"/>
DESCRIPTION: REALLOCATION OF FUNDS					
WI RETIREMENT ⇒ FIDELITY CONTRAFUND COMMINGLED POOL CL2 [MF]		08/17/2018	P	\$100,001 - \$250,000	
DESCRIPTION: REALLOCATION OF FUNDS					
WI RETIREMENT ⇒ VANGUARD INSTITUTIONAL 500 INDEX TRUST [MF]		08/17/2018	P	\$100,001 - \$250,000	
DESCRIPTION: REALLOCATION OF FUNDS					
WI RETIREMENT ⇒ VANGUARD INSTITUTIONAL INDEX INSTL PL [MF]		08/17/2018	S	\$100,001 - \$250,000	<input type="checkbox"/>
DESCRIPTION: REALLOCATION OF FUNDS					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

None disclosed.

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 1993	ME AND STATE OF WISCONSIN	PARTICIPANT IN WISCONSIN DEFERRED COMPENSATION PROGRAM AND PENSION PLAN

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- AF IRA  
LOCATION: US
- BANK ACCOUNTS  
LOCATION: US
- FB ROTH IRA
- INVESTMENTS  
LOCATION: US
- WI RETIREMENT

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Glenn S. Grothman , 05/13/2019